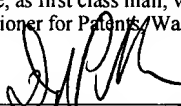


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sectak

Applicant: UNO et al. Examiner: Unknown
Serial No.: 09/390,228 Group Art Unit: 1773
Filed: September 3, 1999 Docket: 10873.274US11
Notice of Allow. Date: n/a Batch No.: n/a
Due Date: November 22, 1999
Title: OPTICAL INFORMATION RECORDING MEDIUM, PRODUCING METHOD THEREOF
AND METHOD OF RECORDING/ERASING/REPRODUCING INFORMATION

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS Assistant Commissioner for Patents, Washington, D.C. 20231, on November 22, 1999.

By: 
Douglas P. Mueller

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

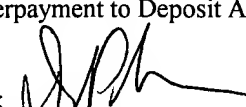
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Check in the amount of \$130.00, for Missing Parts
- ☒ Other: Communication regarding submission or Missing Parts; Form PTO-1533; Communication regarding Inventors
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
3100 Norwest Center, Minneapolis, MN 55402
(612) 332-5300

By: 
Name: Douglas P. Mueller
Reg. No.: 30,300
DPM:tvm

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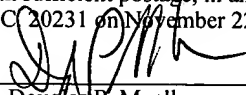
S/N 09/390,228

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	UNO et al.	Examiner:	Unknown
Serial No.:	09/390,228	Group Art Unit:	1773
Filed:	September 3, 1999	Docket No.:	10873.274US11
Title:	OPTICAL INFORMATION RECORDING MEDIUM, PRODUCING METHOD THEREOF AND METHOD OF RECORDING/ERASING/REPRODUCING INFORMATION		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 22, 1999.

By: 
Name: Douglas P. Mueller

COMMUNICATION REGARDING INVENTORS

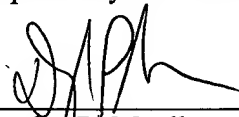
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, please note the name of the first inventor has changed from Mayumi Otoba to Mayumi Uno due to marriage. On the formal papers the typed name is the former name and the signed name is the present one.

Respectfully submitted,

Dated: November 22, 1999



Douglas P. Mueller
Reg. No. 30,300
MERCHANT & GOULD, P.C.
3100 Norwest Center
90 South Seventh Street
Minneapolis, Minnesota 55402
Telephone: (612) 332-5300

DPM/tvm

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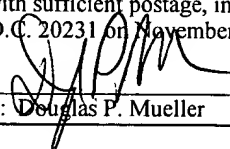
DATE BY 09/390,228

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	UNO et al.	Examiner:	Unknown
Serial No.:	09/390,228	Group Art Unit:	1773
Filed:	September 3, 1999	Docket No.:	10873.274US11
Title:	OPTICAL INFORMATION RECORDING MEDIUM, PRODUCING METHOD THEREOF AND METHOD OF RECORDING/ERASING/REPRODUCING INFORMATION		

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By: 
Name: Douglas P. Mueller

SUBMISSION OF MISSING PARTS

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

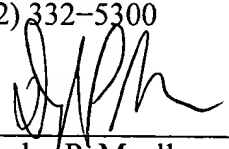
Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee.

Respectfully submitted,

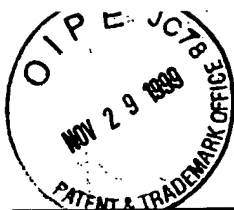
MERCHANT & GOULD P.C.
3100 Norwest Center
90 South Seventh Street
Minneapolis, Minnesota 55402
(612) 332-5300

Dated: November 22, 1999

By: 
Douglas P. Mueller
Reg. No. 30,300

DPM:tvm

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/390,228	09/03/99 OTOBA		M 10873, 274(US)

0212/0922

MERCHANT & GROULD P.C.
3100 NORWEST CENTER
90 SOUTH SEVENTH STREET
MINNEAPOLIS MN 55402-4131

NOT ASSIGNED

1770

DATE MAILED:

09/22/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 20.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Sharon Blum
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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12/01/1999 MBERHE

01 FC:105

130.00 OP